



8-27-07

1633  
JRW

PTO/SB/21 (04-07)

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

		Application Number	10/575,457
		Filing Date	April 12, 2006
		First Named Inventor	Zhao, Byron
		Art Unit	1633
		Examiner Name	
Total Number of Pages in This Submission	26	Attorney Docket Number	015270-020710US

**ENCLOSURES (Check all that apply)**

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Return Postcard
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	<input type="checkbox"/> PTO/SB08B
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> International Search Report
<input type="checkbox"/> Reply to Missing Parts/ Incomplete Application	<input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> 3Cited references
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	Remarks The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.	

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name	Townsend and Townsend and Crew LLP		
Signature			
Printed name	Lance A. Termes		
Date	August 23, 2007	Reg. No.	43,184

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Date of Deposit August 23, 2007



PATENT  
Attorney Docket No.: 015270-020710US  
Client Reference No.: 385-PCT-US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Byron Zhao et al.

Application No.: 10/575,457

Filed: April 12, 2006

For: NEUROPROTECTIVE EFFECTS  
OF ATF6

Confirmation No.: 3708

Examiner: Unassigned

Art Unit: 1633

**INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08B are being called to the attention of the Examiner. Copies of the references 1-3 are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

Also enclosed is a copy of the Search/Examination report corresponding to the PCT application.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Lance A. Termes  
Reg. No. 43,184

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61128428 v1



Substitute for form 1449A&B/PTO				Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>				Application Number	10/575,457
				Filing Date	April 12, 2006
				First Named Inventor	Zhao, Byron
				Art Unit	1633
				Examiner Name	
Sheet	1	of	1	Attorney Docket Number	015270-020710US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	1	ZHU, et al., "Interaction of ATF6 and Serum Response Factor" <u>Mol. Cell. Biol.</u> , 17(9): 4957-66 (1997)	<input type="checkbox"/>
	2	LIU, et al., "The unfolded protein response" <u>J. Cell Science</u> , 116(10): 1961-1862 (2003)	<input type="checkbox"/>
	3	HONG, et al., "Endoplasmic Reticulum Stress Triggers an Acute Proteasome-Dependent Degradation of ATF6" <u>J. Cell Biochem</u> , 92(4): 723-732 (2004)	<input type="checkbox"/>

Examiner Signature		Date Considered	
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<sup>1</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>2</sup>Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.